

## $\alpha$ -theorem in 6d

tensor branch of 6d SCFT's contains

- 4-derivative term:

$$b \frac{(\partial^4)^4}{4^3} \subset \mathcal{L}_{\text{tensor}}$$

and

- 6-derivative term:

$$\Delta a \frac{(\partial^4)^6}{4^6} \subset \mathcal{L}_{\text{tensor}}, \quad \Delta a = a_{\text{ay}} - (a_h + 1)$$

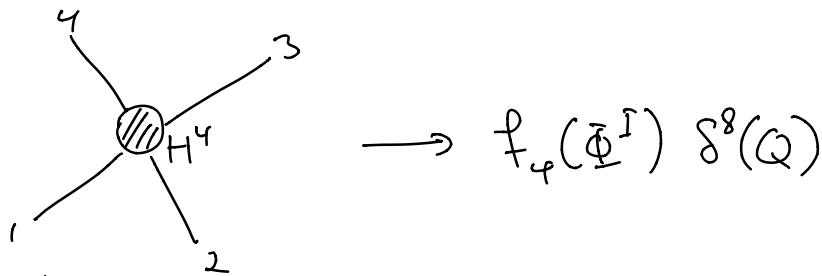
required by conformal symmetry

These can be obtained from scattering amplitudes:

- at 4 derivatives we have the 4-point, 4-derivative "supervertex"  $\delta^8(Q)$  :

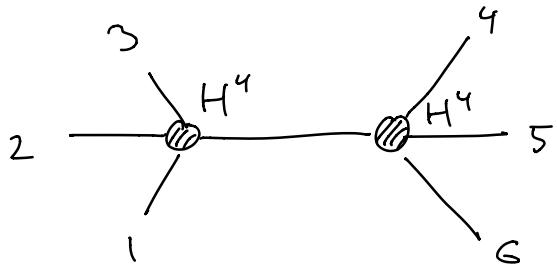
$\uparrow$   
8 supercharges

$$S(Q) = H^4 + (\partial \Phi)^4 + \dots$$



n-point supervertex:  $(n-4)$  sym. traceless tensor  
of  $SO(5)_R$

- at 6 derivatives :

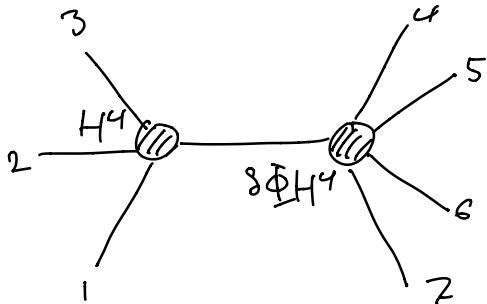


admits "factorization" through pair of 4-point supervertices  $\delta^8(Q) \rightarrow f_4^2$

→ coefficients of proportionality between  $f_6$  and  $f_4^2$  are fixed by supersymmetry

$$\rightarrow \Delta a \sim b^2 \quad (\text{recall: } f_4(\Phi^I) = \frac{b}{2f_3})$$

- at 7 derivatives :



$\delta \bar{\Phi} H^4$  arises by expanding  
 $f_4(\Phi^I) H^4 = \dots$

→ WZ-term (7 scalars, 6 derivatives)

5-point interaction arises from

$$\partial_I f_4|_{\langle \bar{\Phi} \rangle} \delta \bar{\Phi}^I H^4$$

$$\rightarrow WZ\text{-term} \sim (f_4|_{\langle \bar{\Phi} \rangle})(\partial_I f_4|_{\langle \bar{\Phi} \rangle})$$

$$\rightarrow \Delta K \sim b^2$$

## Comparison to 5d SYM:

Recall:

- $\mathcal{L}_{\text{Coulomb}}^{(5)} = -\frac{1}{2g^2} \Omega_{ij} (f_i \gamma^* f_j + \sum_{I=1}^5 \partial_\mu \varphi_i^I \partial^\mu \varphi_j^I) + (\text{Fermions}) + \dots$

$$\Omega_{ij} = \text{Tr}_g(h_i h_j)$$

- relation to 6d fields:

$$\begin{aligned} \Phi_i^I &\rightarrow \frac{1}{2\pi R} \varphi_i^I, \quad H_i \rightarrow \frac{1}{2\pi R} (f_i \gamma^5 + \gamma^* f_i) \\ &- \frac{\pi R}{g^2} \Omega_{ij} (H_i \gamma^* H_j + \sum_{I=1}^5 \partial_\mu \bar{\Phi}_i^I \partial^\mu \bar{\Phi}_j^I) \\ &+ (\text{Fermions}) \subset \mathcal{L}_{\text{tensor}} \end{aligned}$$

Restrict to single Abelian vector multiplet arising from breaking  $g \rightarrow \mathfrak{h} \oplus u(1)$

$$\rightarrow \text{vev } \langle \varphi^I \rangle \text{ break } SO(5)_R \rightarrow SO(4)_R$$

$$\mathcal{V} = \left( \sum_{I=1}^5 \varphi^I \varphi^I \right)^{\frac{1}{2}}$$

$$\phi^I = t \varphi^I, \quad F = t f, \quad t \in \text{tg}$$

where  $t \in \text{tg}$  is a Cartan generator whose commutant in  $g$  is  $\mathfrak{h} \oplus u(1)$

$$\rightarrow -\frac{1}{4g^2} \text{Tr}_{\alpha f}(f^2) \left( f_{IJ} f + \sum_{i=1}^5 \partial_m \varphi^I \partial^m \varphi^I \right) + (\text{Fermions})$$

$\subset \mathcal{L}_{\text{Coulomb}}^{(5)}$

Higher derivative terms:

$$\left( \frac{b^{(5)}}{4^3} + C^{(5)} g^6 \right) (\partial \psi)^4 \subset \mathcal{L}_{\text{Coulomb}}^{(5)}$$

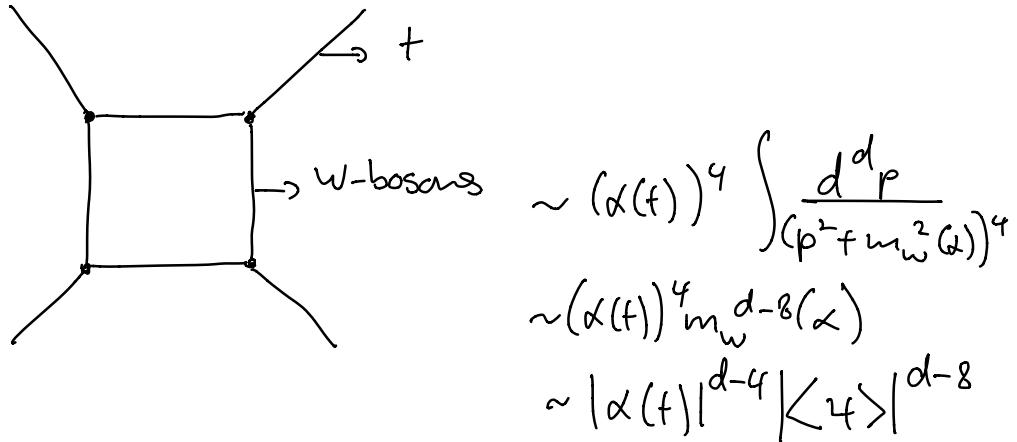
$\uparrow$   
not forbidden as in 6d

as 5d theory is not conformal!

By comparing with 6d effective action

$$\mathcal{L}_{\text{tensor}}, \text{we see } C^{(5)} = 0$$

$b^{(5)}$  arises from integrating out W-bosons  
as follows:



W-bosons are labeled by roots  $\alpha \in \Delta_{\alpha f}$

that do not reside in the root system of  $\mathfrak{h}$ :

$$\alpha \in \Delta_{\mathfrak{g}} / \Delta_{\mathfrak{h}}, \text{ u}(1) \text{ charges} = |\alpha(t)|$$

$$\rightarrow b^{(5)} = \frac{1}{128\pi^2} \sum_{\alpha \in \Delta_{\mathfrak{g}} / \Delta_{\mathfrak{h}}} |\alpha(t)| \quad (*)$$

Comparing with 6d action, we get

$$b = \left( \frac{g^2}{2\pi R \text{Tr}_{\mathfrak{g}}(t^2)} \right)^{\frac{1}{2}} b^{(5)}$$

substituting  $g^2 = 4\pi^2 R$  and  $(*)$  gives:

$$b = \left( \frac{1}{81g_2 \pi^3 \text{Tr}_{\mathfrak{g}}(t^2)} \right)^{\frac{1}{2}} \sum_{\alpha \in \Delta_{\mathfrak{g}} / \Delta_{\mathfrak{h}}} |\alpha(t)|$$

Computing  $a_{\mathfrak{g}}$  and  $k_{\mathfrak{g}}$ :

Upon breaking  $\mathfrak{g} \rightarrow \mathfrak{h} \oplus \text{u}(1)$  the anomaly difference between the UV theory  $T_{\mathfrak{g}}$  and the IR theory  $\widetilde{T}_{\mathfrak{h}} \oplus \text{u}(1)_{\text{AUM}}$  is

$$\Delta a = a_{\mathfrak{g}} - (a_{\mathfrak{h}} + 1) = \frac{98304\pi^3}{7} b^2,$$

$$\Delta k = k_{\mathfrak{g}} - k_{\mathfrak{h}} = 6144\pi^3 b^2$$

Substituting b we find:

$$\Delta a = \frac{12}{7} X, \quad \Delta K = \frac{3}{4} X,$$

$$X = \frac{1}{\text{Tr}_{\text{og}}(f^2)} \left( \sum_{\alpha \in \Delta_{\text{og}} \setminus \Delta_h} |\alpha(f)| \right)^2$$

One can show:

$$X = 16 \left( \langle \rho_{\text{og}}, \rho_{\text{og}} \rangle_{\text{og}} - \langle \rho_h, \rho_h \rangle_{\text{og}} \right)$$

$$\text{where } \rho_{\text{og}} = \frac{1}{2} \sum_{\alpha \in \Delta_{\text{og}}^+} \alpha, \quad \rho_h = \frac{1}{2} \sum_{\alpha \in \Delta_h^+} \alpha$$

Furthermore, the following holds

$$\langle \rho_{\text{og}}, \rho_{\text{og}} \rangle_{\text{og}} = \frac{1}{12} h_{\text{og}}^\top d_{\text{og}}$$

$$\text{We have } \langle \cdot, \cdot \rangle_{\text{og}} = N_{\text{hog}}^{(i)} \underbrace{\langle \cdot, \cdot \rangle_h}_{\substack{\uparrow \\ \text{normalization factor}}}$$

$$\rightarrow \Delta a = \frac{16}{7} \left( h_{\text{og}}^\top d_{\text{og}} - \sum_i N_{\text{hog}}^{(i)} h_{n_i}^\top d_{n_i} \right)$$

for  $n = \bigoplus_i n_i$

$$\Delta K = h_{\text{og}}^\top d_{\text{og}} - \sum_i N_{\text{hog}}^{(i)} h_{n_i}^\top d_{n_i}$$

For  $\alpha_g$  of ADE type :  $N_{h\alpha_g}^{(i)} = 1$

$$\rightarrow \alpha_g = \frac{16}{7} h^\vee \alpha_g \text{deg} + 1, \quad k_g = h^\vee \text{deg}, \quad g \in \{A_n, D_n, E\}$$

$$\text{We need } \Delta K = K_g - K_h \in 6\mathbb{Z}$$

$\rightarrow$  holds for ADE case as

$h^\vee \text{deg}$   $\in 6\mathbb{Z}$  there !

but fails otherwise

Example:  $\alpha_g = \alpha_{j_2}$        $0 \equiv 0$

Higgsing leads to

$$N_{\text{SU}(2)_0 \subset \alpha_{j_2}} = \frac{1}{3}, \quad N_{\text{SU}(2)_0 \subset \alpha_{j_2}} = 1$$

$$\rightarrow \Delta K_{\text{SU}(2)_0 \subset \alpha_{j_2}} = 4 \cdot 14 - \frac{1}{3} \cdot 2 \cdot 3 = 54,$$

$$\Delta K_{\text{SU}(2)_0 \subset \alpha_{j_2}} = 4 \cdot 14 - 2 \cdot 3 = 50$$

$\uparrow$   
not divisible by 6!

$\rightarrow (2,0)$  theory  $T_{\alpha_{j_2}}$  is ruled out!